## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|   |   | Application Number                          | 09/434,345                                    |  |
|---|---|---|---|--|
| TRANSMITTAL   |   | Filing Date                                 | November 5, 1999                              |  |
| FORM  |   | First Named Inventor                        | Teni Boulikas                                 |  |
|   |   | Group Art Unit                              | 1615  |  |
| (to be used for all correspondence  |   | Examiner Name                               | Unassigned                                    |  |
| Total number of Pages in this submission  | VAE NO  | Attorney Docket No.                         | 131561200100                                  |  |
| Assistant Commissioner for Patents Washington, D.C. 20231   | APR 2 7 2000  |   | RECEIVED<br>MAY 02 2000                       |  |
|   | ENCLOSURES (ch  |   | 7504 CENTER 1600/2900                         |  |
| ☐ FEE TRANSMITTAL FORM  | ☐ ASSIGNMENT PAPER  |   | CE  |  |
| FEE ATTACHED  | (FOR AN APPLICAT  | <u> </u>                                    |   |  |
| AMENDMENT/RESPONSE  | ☐ DRAWING(S)  | APPEAL COMMUNI                              | CATION TO BOARD  INTERFERENCES                |  |
| ☐ AFTER FINAL   | ☐ LICENSING-RELATE PAPERS                                     | ED OF APPEALS AND                           | O INTERPERENCES                               |  |
| ☐ Affidavits' Declaration(s)  | ☐ PETITION ROUTING  | ST IP                                       |   |  |
| ☐ EXTENSION OF TIME   | (PTO/SB/60) AND ACCOMPANYING PETITION)                        |   |   |  |
| ☐ EXPRESS ABANDONMENT REQUEST   | ☐ TO CONVERT A PROVISIONAL APPLICATION                        | □ PROPRIETARY INFO                          | DRMATION                                      |  |
|   | ☐ POWER OF ATTORNE<br>REVOCATION CHANGE<br>CORRESPONDENCE ADD | OF  |   |  |
| ☐ CERTIFIED COPY OF PRIORITY DOCUMENT(S)  | ☐ TERMINAL DISCLAIN   | MER ADDITIONAL ENCI                         |   |  |
| ☐ RESPONSE TO MISSING PARTS/  | ☐ SMALL ENTITY STAT   | TEMENT 19 REFERENCES                        |   |  |
| INCOMPLETE APPLICATION  RESPONSE TO MISSING PARTS UNDER 37 CFR 1.52 OR 1.53 COPY OF PTO-1533  | ☐ REQUEST FOR REFUN   | ND RETURN POSTCARD                          |   |  |
| ☐ ISSUE FEE TRANSMITTAL   | REMARKS:  | 0 0   | . <u>.                                   </u> |  |
| BAKER & MCKENZIE 660 HANSEN WAY PALO ALTO, CALIFORNIA 94304 TELEPHONE: (650) 856-2400 FACSIMILE: (650) 856-9299   | DATE: APRIL 24, BY:CHER'                                      | 2000 /<br>///////////////////////////////// |   |  |
| CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"  I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Comprissioner for Patents, Washington, D.C. 20231 on this date: April 24, 2000  By: |   |   |   |  |

PATENT Docket No. 131561200100

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231 on April 24, 200

Name

Name of Person signing: Raquel R. Torres

In re Application for:

Teni BOULIKAS

Serial No.: 09/434,345

Filing Date: November 5, 1999

For: THERAPY FOR HUMAN CANCERS

USING CISPLATIN AND OTHER

DRUGS OR GENES ENCAPSULATED INTO

**LIPOSOMES** 

Examiner: Unassigned

Group Art Unit: 1615

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.

| ☐ A fee is required. A check in the amount of \$* is enclosed.                                   |  |  |
|--|--|--|
| ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; according, no fee is believed     |  |  |
| to be due.   |  |  |
| ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue |  |  |
| fee. Accordingly, a Petition requesting consideration of the Information Disclosure              |  |  |
| Statement, an authorization to charge our deposit account, and a Certification under 37 RECEIVED |  |  |
| C.F.R. § 1.97(e) are provided herein.  |  |  |
|  |  |  |

Applicant would appreciate the Examiner initialing and returning the Form PTO-1442 indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to <u>Deposit Account No. 50-0974</u> referencing <u>131561200100</u>. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: April 24, 2000

Cheryl L. Franke

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